

EAST Search History

EAST Search History (Prior Art)

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|-------|------|---------------------------------------|---|------------------|---------|---------------------|
| L1 | 2 | "5806048".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/08 12:20 |
| L2 | 2 | "20020161684" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/08 12:45 |
| L3 | 2 | "5806048".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/08 12:46 |
| L4 | 3 | "20050082979" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/08 12:57 |
| L5 | 2 | "20020082979" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/08 12:57 |
| L6 | 23 | "mutual funds" same securitization | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/08 13:14 |
| L7 | 0 | l6 and split | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/08 13:14 |

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|-----|-------|--|---|----|-----|---------------------|
| L8 | 0 | l6 and reorganization | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/08 13:14 |
| L9 | 14 | "mutual funds" same split | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/08 13:15 |
| L10 | 2 | "20020198815" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/08 15:20 |
| S1 | 26 | security adj transaction adj system | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/04 10:42 |
| S2 | 2 | "20050222940" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/04 10:46 |
| S3 | 3 | bundled adj instrument | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/04 11:36 |
| S4 | 609 | combined adj instrument | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/04 11:37 |
| S5 | 56290 | "705".clas. | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/04 11:37 |
| S6 | 2 | S4 & S5 | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/04 11:37 |

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| S7 | 34 | combination adj securities | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/04 11:41 |
| S8 | 95 | ("20040167849" "5946667" "6092056" "6360210" "20040236671" "20050075976" "20050160034" "6263321" "20040073506" "20050228747" "20060015433" "6321212" "20050246255" "20070055598" "20070067230" "20020077955" "20050086147" "20060287938" "7249075" "7249083" "7257556" "20040215541" "20050075959" "20050102207" "20050102213" "20070226115" "20070282727" "20020055901" "20030154151" "20060206405" "5774877" "5793301" "5797002" "5806048" "5915245" "6088685" "6272474" "6539362" "6768981" "7162447" "20020198813" "20030046218" "20030130929" "20030167224" "20060085321" "20070112693" "5761442" "5689650" "20020184132" "5819238").pn. | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/04 12:00 |
| S9 | 2 | "20040098327" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/04 12:57 |

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| S10 | 2 | "4910676".pn. | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/04 12:59 |
| S11 | 0 | S4 & trust | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/04 13:09 |
| S12 | 22 | S8 & trust | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/04 13:09 |
| S13 | 1 | combined adj securities | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 09:23 |
| S14 | 0 | "security adj transaction adj system" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 09:25 |
| S15 | 1086 | security adj transaction | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 09:25 |
| S16 | 1017 | S15 & system | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 09:25 |
| S17 | 56290 | "705".clas. | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 09:26 |
| S18 | 384 | S16 & S17 | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 09:26 |
| S19 | 73 | S18 & combine | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 09:40 |

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| S20 | 4 | "6601044".pn. | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 09:58 |
| S21 | 3 | "6615188".pn. | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 10:09 |
| S22 | 34 | S19 & trust | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 10:31 |
| S23 | 117 | trust adj bank | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 10:40 |
| S24 | 41 | S17 & S23 | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 10:40 |
| S25 | 14 | securities and S24 | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 10:43 |
| S26 | 103 | single adj issuer | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 11:05 |
| S27 | 76 | S17 & S26 | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 11:06 |
| S28 | 1 | "reverse security split" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 11:34 |
| S29 | 83 | reverse adj split | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 12:25 |

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| S30 | 13 | S17 & S29 | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 12:26 |
| S31 | 47 | redemption adj fee | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 13:29 |
| S32 | 35 | S17 & S31 | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/07 13:30 |
| S33 | 13 | "american depository receipts" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/08 11:31 |
| S34 | 7 | S33 & partnerships | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/08 11:33 |
| S35 | 176 | debentures | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/08 11:38 |
| S36 | 3 | "6517219".pn. | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/08 13:55 |
| S37 | 2 | "6571219".pn. | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/08 13:56 |
| S38 | 2 | "6571219".pn. | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/01/08 17:43 |
| S39 | 2 | "20020174046" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 08:01 |

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|-----|----|--|---|----|-----|---------------------|
| S40 | 2 | "20060265296" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 08:11 |
| S41 | 0 | "SEC" with regulation near5 hybrid with securitie\$1 | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 08:56 |
| S42 | 0 | SEC with regulation near5 hybrid with securitie\$1 | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 08:56 |
| S43 | 53 | "hybrid security" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 09:02 |
| S44 | 0 | S43 near5 "SEC regulation" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 09:03 |
| S45 | 0 | S43 near5 regulation | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 09:03 |
| S46 | 2 | "dollar value spread" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 09:23 |
| S47 | 2 | "6092056".pn. | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 09:46 |
| S48 | 2 | "20020174046" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 09:48 |
| S49 | 2 | "20020198833" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 10:05 |

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| S50 | 2 | "20050119962" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 10:06 |
| S51 | 2 | "20020099645" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/11 10:27 |
| S52 | 2 | "20030200164" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/19 09:44 |
| S53 | 2 | "20050119962" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2008/08/19 11:34 |
| S54 | 2 | "20020046154" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/17 10:44 |
| S55 | 2 | "20030200164" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/17 11:43 |
| S56 | 2 | "20020046154" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/17 11:53 |
| S57 | 1 | "2004539526" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 12:14 |
| S58 | 0 | "20040539526" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 12:14 |

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| S59 | 0 | "2004709329" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 12:15 |
| S60 | 0 | "20040709329" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 12:15 |
| S61 | 0 | "200400709329" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 12:15 |
| S62 | 0 | "200479329" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 12:15 |
| S63 | 0 | "20047009329" | US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 12:15 |
| S64 | 2 | "20050044023" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 12:24 |
| S65 | 2 | "20070143196" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 12:57 |
| S66 | 1 | "2003520411" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 12:58 |
| S67 | 0 | "20030520411" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 12:58 |

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|-----|-----|---------------|---|----|-----|---------------------|
| S68 | 2 | "20050091150" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 13:02 |
| S69 | 2 | "20040167849" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 13:06 |
| S70 | 2 | "20040158480" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 13:07 |
| S71 | 2 | "20020046154" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 13:27 |
| S72 | 138 | "CIBC" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 13:41 |
| S73 | 3 | S72 AND TRUST | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 13:41 |
| S74 | 2 | "20020174046" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 14:49 |
| S75 | 2 | "6360210".PN. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 14:59 |

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| S76 | 69 | "bundling" same fee | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 16:20 |
| S77 | 30 | S76 and security | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 16:20 |
| S78 | 2 | S76 and hedge | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 16:26 |
| S79 | 22 | S76 and stock | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 16:27 |
| S80 | 448 | "combining" same fee | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 16:40 |
| S81 | 23 | S80 and trading | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 16:40 |
| S82 | 2 | "20020046154" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/02/24 17:05 |
| S83 | 18 | "bundle security" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/08/31 10:15 |

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| S84 | 6 | "bundled securities" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/08/31 10:16 |
| S85 | 6 | "securities combine" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/08/31 10:19 |
| S86 | 14397 | (bundled or combined or aggregate) same security | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/08/31 10:26 |
| S87 | 78 | S86 and "publicly traded" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/08/31 10:26 |
| S88 | 3 | "6615188".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/08/31 10:38 |
| S89 | 2 | "BUNDLING STOCKS" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/08/31 10:47 |
| S90 | 0 | "BUNDLING INSTRUMENTS" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/08/31 10:50 |
| S91 | 39 | COMBINING SAME "FINANCIAL INSTRUMENTS" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/08/31 10:50 |

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| S92 | 4 | "20020087373" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/08/31 10:57 |
| S93 | 0 | "COMBINING STOCKS" SAME "SINGLE SECURITY" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 11:40 |
| S94 | 0 | "COMBINE STOCKS" SAME "SINGLE SECURITY" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 11:40 |
| S95 | 2 | "COMBINE STOCKS" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 11:40 |
| S96 | 1230 | "SINGLE SECURITY" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 11:41 |
| S97 | 134 | S96 AND STOCKS | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 11:41 |
| S98 | 2 | S97 AND "SINGLE ISSUER" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 11:43 |
| S99 | 3 | S97 AND "SAME ISSUER" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 11:43 |

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| S100 | 43 | "mutual fund" same procedure | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 17:49 |
| S101 | 1754 | ("mutual fund" or "mutual funds") same (stock or stocks) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 17:51 |
| S102 | 1037 | S101 and securities | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 17:52 |
| S103 | 509 | "mutual funds" near2 stocks | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 17:52 |
| S104 | 292 | "mutual funds" near1 stocks | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 17:53 |
| S105 | 97 | S104 and ("combine" or "combining") | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 18:00 |
| S106 | 63 | S105 and "705".clas. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/01 18:01 |
| S107 | 0 | "mutual fund" same "single issuer" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 10:22 |

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|------|-----|-------------------------------------|---|----|-----|---------------------|
| S108 | 0 | "mutual fund" same "same issuer" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 10:22 |
| S109 | 112 | "mutual fund" same "issuer" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 10:22 |
| S110 | 2 | "20020198833" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:09 |
| S111 | 2 | "20020161684" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:12 |
| S112 | 2 | "20020069155" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:33 |
| S113 | 215 | "derivative security" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:37 |
| S114 | 295 | "derivative securities" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:37 |
| S115 | 2 | S114 and "single issuer" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:37 |

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| S116 | 4 | "derivative instrument" and "single issuer" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:38 |
| S117 | 3 | "derivative instrument" and "same issuer" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:38 |
| S118 | 6 | "derivative instruments" and "same issuer" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:39 |
| S119 | 70 | S114 and "mutual funds" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:41 |
| S120 | 0 | "200201403676" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:55 |
| S121 | 2 | "20020143676" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:55 |
| S122 | 28 | S119 and issuer | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 11:58 |
| S123 | 2 | "5806048".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:10 |
| S124 | 77 | ("5806048").URPN. | USPAT | OR | OFF | 2009/09/02 12:11 |

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| S125 | 30 | S124 and "mutual fund" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:12 |
| S126 | 11 | S125 and issuer | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:12 |
| S127 | 142 | "single issuer" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:18 |
| S128 | 37 | S127 and securities | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:19 |
| S129 | 8 | S128 and "mutual fund" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:19 |
| S130 | 2 | "5806048".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:38 |
| S131 | 49 | "mutual fund" same regulations | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:39 |
| S132 | 24 | S131 and compliance | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:39 |

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| S133 | 21 | S131 and range | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:41 |
| S134 | 0 | "dollar value spread" same "mutual fund" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:47 |
| S135 | 2169 | "dollar value spread" and "mutual fund" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:47 |
| S136 | 2169 | "dollar value spread" near8 "mutual fund" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:48 |
| S137 | 0 | "dollar value spread" near8 "mutual fund" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:48 |
| S138 | 0 | "dollar value spread" near12 "mutual fund" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:48 |
| S139 | 8 | S135 and "single issuer" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:48 |
| S140 | 0 | "dollar spread" same "mutual fund" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:52 |

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| S141 | 36 | spread same "mutual fund" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:52 |
| S142 | 3 | "dollar value spread" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 12:58 |
| S143 | 40 | "basis point spread" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 13:09 |
| S144 | 1 | S143 and "share price" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 13:09 |
| S145 | 23 | S143 and "price" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 13:09 |
| S146 | 20 | S145 and securities | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 13:10 |
| S147 | 1 | S143 and "securities price" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 13:19 |
| S148 | 2 | "5906048".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 13:49 |

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| S149 | 2 | "5806048".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 13:49 |
| S150 | 0 | "tradable receipt" same "mutual fund" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:13 |
| S151 | 0 | "tradable receipt" near7 "mutual fund" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:13 |
| S152 | 0 | "tradable receipt" near5 "securities" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:14 |
| S153 | 0 | "confirmation receipt" near5 "securities" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:14 |
| S154 | 98 | "receipt" near5 "securities" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:14 |
| S155 | 56 | S154 AND EXCHANGE | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:15 |
| S156 | 286 | TRUSTEE NEAR3 BANK | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:28 |

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| S157 | 5 | S156 AND ADR | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:29 |
| S158 | 31 | S156 AND RECEIPTS | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:29 |
| S159 | 4 | "TRUSTEE BANK" SAME RECEIPTS | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:30 |
| S160 | 24 | S158 AND SECURITIES | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:32 |
| S161 | 31 | S156 AND RECEIPTS | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:39 |
| S162 | 24 | S161 AND SECURITIES | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:39 |
| S163 | 1 | "TRUSTEE BANK" SAME SECURITIES | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:47 |
| S164 | 10 | "TRUSTEE BANK" AND SECURITIES | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:48 |

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| S165 | 0 | S111 AND RECEIPTS | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:48 |
| S166 | 8 | "TRUSTEE BANK" AND RECEIPTS | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:49 |
| S167 | 57 | "FINANCIAL INSTITUTION" NEAR2 TRUST | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:51 |
| S168 | 6 | S167 AND RECEIPTS | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:51 |
| S169 | 19 | "6571219" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:53 |
| S170 | 171 | "TRUST BANK" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:55 |
| S171 | 19 | S170 AND RECEIPTS | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:55 |
| S172 | 10 | S171 AND ("SECURITIES" OR "STOCKS" OR "BONDS") | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:56 |

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| S173 | 11 | ("ADR" OR "AMERICAN DEPOSIT RECEIPTS") SAME TRUST | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 14:58 |
| S174 | 347 | ("ADR" OR "AMERICAN DEPOSIT RECEIPTS") SAME BANK | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:00 |
| S175 | 12 | S174 AND TRUST | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:00 |
| S176 | 36 | "TRUSTEE BANK" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:02 |
| S177 | 4 | S176 AND ADR | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:02 |
| S178 | 0 | S176 AND "AMERICAN DEPOSIT RECEIPT" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:05 |
| S179 | 0 | S176 AND "AMERICAN DEPOSIT RECEIPTS" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:05 |
| S180 | 0 | "AMERICAN DEPOSIT RECEIPTS" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:05 |

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| S181 | 171 | "TRUST BANK" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:07 |
| S182 | 0 | S181 SAME ADR | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:07 |
| S183 | 0 | S181 SAME RECEIPTS | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:07 |
| S184 | 19 | S181 AND RECEIPTS | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:07 |
| S185 | 36 | "TRUSTEE BANK" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:09 |
| S186 | 10 | S185 AND SECURITIES | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:21 |
| S187 | 11 | S185 AND DEPOSITS | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/02 15:23 |

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